

Temporary Assistance for Needy Families (TANF)
Title IV-A State Plan

FFY 2006-07

Kentucky

Mission, Goals, Philosophy

Mission:

- ▶ Provide families with the tools to become self-sufficient while ensuring children are protected and valued

Goals:

- ▶ Clients obtain full-time, unsubsidized employment
- ▶ Clients retain jobs and, when necessary, obtain re-employment
- ▶ Clients and their families are self-sufficient
- ▶ Clients are involved in work activities and become self-sufficient before their 5-year lifetime limit expires
- ▶ Children live in a safe, secure environment

Approach:

- ▶ Develop partnerships with other state agencies, local governments, community based organizations and employers to provide linkages for Kentucky Works participants for services to reduce barriers to employment
- ▶ Integrate services
- ▶ Develop multiple strategies recognizing the varying strengths and needs of clients and communities
- ▶ Encourage communities to develop broad based collaboratives for local plans for welfare reform and regionalized economic development strategies
- ▶ Maximize all state and local resources

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

Cultural Change:

- ▶ Provide information and support to participants enabling them to recognize their own strengths, value work, formulate goals, choose options and meet new expectations
- ▶ Integrate the new mission into service delivery through training for case managers and communication with service providers

Organization

Operation:

- ▶ The Kentucky Cabinet for Health and Family Services (CHFS) is responsible for the TANF block grant
 - ▷ CHFS has authority to submit the state plan for programs funded under Title IV-A of the Social Security Act
 - ▷ CHFS has authority to establish and revise provisions of TANF funded programs and file administrative regulations governing the administration of the plan according to Chapters 194B and 205 of the Kentucky Revised Statutes
- ▶ TANF is administered by Department for Community Based Services (DCBS) in CHFS
- ▶ DCBS offices located in every county
 - ▷ Case managers work in partnership with families to support their efforts toward self-sufficiency
 - ▷ Coordinators serve as program consultants in Kentucky Works policy and act as facilitators/resource persons with other agencies
- ▶ The Family Resource and Youth Services Centers (FRYSC) and Division of Protection and Permanency, a Division of the Department for Community Based Services, are part of CHFS
- ▶ Division of Protection and Permanency provides family services, including crisis intervention, domestic violence counseling, child

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

protective services and family preservation services

- ▶ FRYSC removes non-cognitive barriers to children's learning by providing such services as health services, child care, employment counseling, drug and alcohol abuse counseling, parent and child education, or referrals for such services
- ▶ Division of Child Care provides help for families who need child care outside their homes. CHFS is working to increase the quality and availability of affordable child care by administering a statewide network of resource and referral agencies
- ▶ Division of Child Support (DCS) provides assistance to any person with minor children who needs financial support from an absent parent
- ▶ CHFS contracts with the Office of Employment and Training (OET) in the Education Cabinet to provide employment services to Kentucky Works participants, conducting job readiness/job search workshops, job referrals and wage subsidy positions. Some basic skills and job training services are provided by OET.

Kentucky Transitional Assistance Program (K-TAP)

Cash Assistance

Eligible Groups:

- ▶ Families with a minor child deprived of parental support through unemployment, death, voluntary or involuntary absence, or incapacity pursuant to Kentucky Administrative Regulations
- ▶ Child defined as
 - ▷ Age 15 or under; or
 - ▷ Age 16 through 18 and has not attained 19 years of age if attending high school or equivalent
- ▶ Qualified aliens residing in the country prior to August 22, 1996 (enactment date)

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ Qualified aliens entering the country on or after August 22, 1996
 - ▷ Not eligible for first 5 years but eligible afterwards
 - ▷ Eligible for the first 5 years and afterwards if exempt from benefit restrictions as specified in federal law
 - ▶ Victims of a severe form of trafficking, including a victim's child(ren) or spouse, are eligible for TANF to the same extent as an alien who is admitted to the United States as a refugee
 - ▶ If a victim of a severe form of trafficking is under 18 years of age, the victim's siblings and parents are also eligible for TANF to the same extent as an alien who is admitted to the United States as a refugee.
- ▶ Recipients moving from other states as current residents

Ineligible Groups:

- ▶ Fugitive felons and probation/parole violators
- ▶ Felony drug offenders- commission of crime and conviction after August 22, 1996 (exemptions in accordance with Kentucky Revised Statutes 205.2005)
- ▶ Those convicted of misrepresenting residency in two states ineligible for 10 years
- ▶ Children absent from the home for 30 consecutive days, unless good cause exists

Technical Eligibility Requirements:

- ▶ Completion of assessment and self-sufficiency agreement
- ▶ Cooperation in child support activities
- ▶ Child lives in the home of a specified relative
- ▶ Work registration required for adults

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ Minor unmarried teen parents must live in adult supervised setting and attend school
- ▶ Minor teen parents without high school credential and with child at least 12 weeks old must attend high school or equivalent
- ▶ Child age 16 through 18 must attend school
- ▶ Must furnish a social security number or agree to apply for a number

Income/Resource Considerations and Exclusions:

- ▶ Up to \$2,000 in liquid assets excluded
- ▶ Exclude all real and personal property including all vehicles, as well as burial plans and life insurance policies
- ▶ Up to \$5,000 in Individual Development Accounts
- ▶ One-time exclusion of first two (2) full months earned income per adult, at individual's option
- ▶ Exclude earned income of a K-TAP child or a K-TAP parent age nineteen (19) or under who is attending school

Gross Income Limit:

- ▶ The benefit group's total gross earned and unearned income minus excluded income compared to the maximum gross income scale
- ▶ If the benefit group's total gross earned and unearned income exceed the maximum gross income limit for the appropriate benefit group size, the family is not eligible for K-TAP

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- The gross income limit is as follows for the appropriate family size

Number of Eligible Persons	Maximum Monthly Gross Income Limits
1 Person	\$ 742
2 Persons	\$ 851
3 Persons	\$ 974
4 Persons	\$1096
5 Persons	\$1218
6 Persons	\$1340
7 or more Persons	\$1462

Benefit Calculation:

- The Standard of Need calculation is defined in Kentucky Revised Statutes 205.2001 and is an amount no less than the poverty level of the family unit minus the combined value of the state's average Medicaid utilization for a given family size plus the value of Food Stamps for a given family size
- To compute eligibility for a cash payment, additional income deductions may be applied and remaining income compared to the Standard of Need for the family size
 - ▷ The family's income subtracted from the Standard of Need to arrive at the deficit amount
 - The deficit amount is multiplied by 55 percent (45 percent ratable reduction)
 - Ratable reduction allows the recipient to retain a larger portion of their earnings
 - ▷ The K-TAP payment is the lesser of this product or the maximum K-TAP payment for the family size
 - ▷ In no instance shall grants to families with no income be less than the appropriate grant maximum (in accordance with Kentucky Revised Statutes 205.200(2))

Temporary Assistance for Needy Families (TANF)
Title IV-A State Plan

Standard of Need:

Current Standard of Need

Number of Eligible persons	Standard of Need
1 Person	\$401
2 Persons	\$460
3 Persons	\$526
4 Persons	\$592
5 Persons	\$658
6 Persons	\$724
7 or more Persons	\$790

Cash Benefits:

- Maximum monthly cash payments to eligible families

Number of Eligible persons	Payment Maximum
1 Person	\$186
2 Persons	\$225
3 Persons	\$262
4 Persons	\$328
5 Persons	\$383
6 Persons	\$432
7 or more Persons	\$482

Educational bonus:

- An educational bonus of \$250 per individual paid to a K-TAP adult or child who reports and verifies receiving high school diploma, GED certificate, or post secondary school certificate or degree

Relocation
Assistance:

- Cash assistance recipients who choose to relocate to another area to access employment or escape a domestic violence situation may qualify for up to \$500 to assist the family with moving related expenses such as moving van rental and first month's rent

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- Except for a domestic violence situation, the current residence is located ten (10) miles or more from the location of new employment and the new residence is closer to the location of new employment than the applicant's current residence
- For an individual who moves to access employment there must be a verified offer of employment of at least an amount equal to 30 hours at minimum wage
- Except for a domestic violence situation, Relocation Assistance Program is available only one time
- Follow-up case management to assist the family with the transition provided

Penalties:

- 25 percent reduction of maximum grant for K-TAP household size for failure to cooperate with child support
- Other penalties listed in the Kentucky Works Program Section of this state plan

Time Limit:

- Five year lifetime limit for families with an adult, including families with an adult not eligible due to being a fleeing felon, probation/parole violator, or convicted drug felon.
- Up to 20 percent of caseload may receive an extension to the lifetime limit
- The extension reasons include a recipient who:
 - Is battered or subjected to extreme cruelty
 - Has a physical or mental disability prohibiting work as determined by the Cabinet
 - Is required to provide constant care of a household member for at least six (6) hours daily, excluding the time the incapacitated member spends sleeping or in school or another program outside the home unaccompanied by the recipient, for a parent, spouse or child with a disability and no alternative care arrangement is available

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- Is a grandparent or other close relative caring for an eligible child who would otherwise be placed in foster care
- Is an adult with insufficient employment opportunities who has complied with participation in Kentucky Works and child support requirements
- Received a domestic violence exemption, up to the number of months the individual received K-TAP during the domestic violence exemption
- A benefit group containing a member who has lost a job within thirty (30) days of reaching the sixty (60) month time limit will receive a three (3) consecutive month extension of the time limitation

Victims of Domestic Violence:

- Screen and identify those participants who may be threatened by, or subjected to domestic violence
- Provide special training for case managers in the identification of domestic violence and the dynamics of violent relationships
- Refer individuals to the Division for Protection and Permanency per statutory requirements of Kentucky Revised Statutes Chapters 209 and 620
- Provide assurance of confidentiality and referral to counseling and supportive services
- On a case-by-case basis, waive requirements related to residency, time limits, child support cooperation and work requirements when compliance would make it more difficult for the recipient to escape domestic violence or protection of their children
- Good cause granted based on need, as determined by an individualized assessment, and redetermination completed no less than every 6 months,

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- An appropriate services plan is:
 - Developed by a person trained in domestic violence or child abuse and neglect
 - Reflects the individualized assessment and any revisions made by a redetermination
 - Designed to safely lead to employment

Kentucky Works Program

Participation:

- All adults must participate, with exception of:
 - Single custodial parents with a child under age one (1) may be exempt for up to twelve (12) months (lifetime limit), at their option
 - Teen parents age 18-19 in high school
 - Victims of domestic violence if participation would cause harm

Hours of participation:

- Required participation is no less than the number of hours per week required in the activity, except where the Cabinet determines that alternate hours are appropriate
 - Two (2) parent cases include Unemployed Parent cases only, not two (2) parent cases based on deprivation of incapacity
- The activity required to have at least:
 - Thirty (30) hours per week for single parent or two (2) parent cases based on the deprivation of incapacity
 - Thirty-five (35) hours per week required for two (2) parent

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

cases, based on unemployment, if the family does not receive federally funded child care assistance

- ▷ Fifty-five (55) hours per week required for two (2) parent cases, based on unemployment, receiving federally subsidized child care
- ▷ Twenty (20) hours (thirty (30) hours for two (2) parent cases based on unemployment) required to be in federally countable activities (Hours above 20/30 hours may be in education)
- ▷ Twenty (20) hours for the only parent or caretaker relative in the family with a child who has not attained six (6) years of age
- ▷ Twenty (20) hours for a single or married head of household age 18 or 19 who has not obtained a high school diploma or a general equivalency diploma if attending a secondary school or the equivalent or participates in education that is directly related to employment

Assessment:

- ▶ Transitional Assistance Self Assessment completed at application
- ▷ Brief overview of the income, service needs and family data relevant to achieving self-sufficiency through employment
- ▶ After approval of the K-TAP case, further details regarding employment goals, work history and concerns or barriers are obtained by the case manager
- ▶ Assistance in the assessment process obtained from other agencies as needed
- ▶ Assessment includes basic skills, work skills, occupational skills, barriers and other relevant factors
- ▶ Family situation reviewed including strengths and needs
- ▶ Goals set for getting a job and becoming self-supporting

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ Plans made for reaching goals
- ▶ Need for supportive services evaluated
- ▶ K-TAP Transitional Assistance Agreement (TAA) completed by adults and Personal Responsibility Plan (PRP) completed by teen parents under age 18
- ▶ On-site professional screening completed in select sites to identify individuals for possible learning disabilities, substance abuse, domestic violence, or mental health issues

Transitional Assistance Agreement:

- ▶ Based on the assessment, a self-sufficiency plan (Transitional Assistance Agreement) is jointly developed by case manager and client containing:
 - ▷ An employment goal for the participant
 - ▷ Services to be provided by the agency (including child care)
 - ▷ Activities to be undertaken by the recipient to achieve the employment goal
 - ▷ Other needs of the family

Components:

- ▶ All activities allowable in federal law
- ▶ Unsubsidized employment is defined as paid employment by an employer who is not subsidized for any of the paid wages
- ▶ Subsidized employment is an activity in which the participant works for either a private or public sector employer who is reimbursed for a portion of the wage paid to the participant
- ▶ On the Job Training (OJT) provided to a participant while in employment

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▷ Participants hired by employers who receive a subsidy to cover the extraordinary costs of providing training and supervision
- ▷ The participant compensated at a rate, including benefits, comparable to that of other employees in similar jobs and cannot be less than the federal minimum wage
- ▶ Work experience training program (WETP) is an activity to improve the employability of individuals not otherwise able to obtain employment by providing experience and training which assists the individual in obtaining regular public or private employment
 - ▷ WETP limited to projects which serve a useful public purpose
 - ▷ WETP placements not to exceed six (6) months per training site and not to exceed two (2) six (6) month placements in a lifetime
 - ▷ WETP placement only if training is needed to obtain a job
 - ▷ A valid WETP placement must meet the following:
 - ▶ The work experience training benefits the participant
 - ▶ Trainees do not displace regular employees
 - ▶ Trainees are not entitled to a job after training is completed
 - ▶ Trainees are not paid
 - ▷ The Training Site Agency must:
 - ▶ Provide sufficient training to ensure development of appropriate and specific skills
 - ▶ Provide new tasks after each skill is mastered
 - ▶ Provide intensive participant instruction and supervision at all times
 - ▶ Derive no immediate advantage from trainees' activities

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ Job search and job readiness assistance for a maximum of six (6) weeks per federal fiscal year with only four (4) weeks being consecutive
 - ▷ Job Readiness defined as pre-employment preparation which helps prepare participants for working and becoming marketable as an employee by assuring that participants are familiar with general work place expectations
 - ▷ Job search defined as an activity for seeking employment for participants who are job ready based on the needs of the participant
- ▶ Community service defined as customarily unpaid employment for a useful public service
- ▶ Vocational educational training, not to exceed twenty-four (24) months defined as a training program which prepares the individual for a specific job, includes post-secondary education
 - ▷ Vocational educational training countable for purposes of determining monthly participation rates, not to exceed twelve (12) months
- ▶ Providing child care services to an individual participating in community service
- ▶ Based on the findings of the assessment, the agency or Cabinet designee and the participant may determine placement in a work preparation activity which includes:
 - ▷ Domestic violence counseling is an activity designed to help participants who are in a domestic violence situation to overcome abuse due to physical and sexual violence, threats and intimidation, emotional abuse and economic deprivation
 - ▷ Life skills training is an activity to learn basic living skills needed before the individual can become self-sufficient
 - ▷ A substance abuse program is an activity in which services available in the community are accessed by the participant to overcome the use of alcohol or drugs which impairs the

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

recipient's ability to work and take proper care of their families

- ▷ Mental health counseling is an activity in which health services are accessed by the participant to overcome mental health problems
- ▷ Vocational rehabilitation is an activity available for participants who have a learning disability, substance abuse disability or a mental or physical disability
- ▷ Adult education (Literacy, ABE, GED)
- ▷ Other activities approved by the Cabinet

Substance Abuse:

- ▶ Possible substance abuse identified through questions included in the assessment as well as by other interaction by case managers as part of the Kentucky Works Program assessment process
- ▶ Persons with positive screens referred to the local Comprehensive Care center or other site with substance abuse professionals for an in-depth substance abuse assessment with a substance abuse professional
- ▶ A substance abuse professional determines whether the individual is or is not in need of substance abuse treatment
 - ▷ Level of treatment and referral to an appropriate program determined by the substance abuse professional
 - ▶ All results forwarded back to the case manager
- ▶ Recipients may be required to participate in treatment as part of the Transitional Assistance Agreement
 - ▷ Substance abuse treatment coupled with a countable work activity whenever possible
- ▶ On-site professional screening completed in select sites to identify individuals for possible learning disabilities, substance abuse, domestic violence, or mental health issues

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

Resolution of Displacement complaints:

- ▶ The Training Site Agency will abide by the statewide grievance procedures in Chapter 13B of the Kentucky Revised Statutes for resolving grievances and/or complaints about WETP activities and any final decision rendered therein
- ▷ Grievances and/or complaints will be forwarded to the Department for Community Based Services for resolution through the conciliation and/or administrative hearing process

Conciliation:

- ▶ Conciliation: a process which resolves participation problems in the Kentucky Works Program
- ▶ Conciliation is:
 - ▷ Conducted by the case manager or contractor
 - ▷ At the request of a Kentucky Works participant
 - ▷ At the request of a component provider
 - ▷ Used when a situation occurs which could result in a sanction or penalty
- ▶ Determination is made as to whether additional services are needed to assist with Kentucky Works participation

Kentucky Works Penalties:

- ▶ Kentucky Works Program participant penalized, unless good cause criteria is met, for:
 - ▷ Failure to participate in required activities, including:
 - ▶ An assessment interview
 - ▶ An assessment; or

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ Self-sufficiency plan development including completion of the Transitional Assistance Agreement
- ▷ Failure to participate in the program activities, as defined in the Transitional Assistance Agreement
- ▷ Refusal to accept employment
- ▷ Terminating employment or reduction of earnings, or
- ▷ Failure to register for work
- ▶ Penalized by reducing the amount of the assistance otherwise payable to the benefit group on a pro rata basis; or
- ▶ Discontinuance of assistance to the benefit group if the K-TAP recipient, fails to keep appointment for an assessment interview or complete an assessment
- ▶ The penalties continue until the participant complies with program requirements
- ▶ Penalties not applied until after conciliation procedures conducted
- ▶ Good cause for not applying a penalty in Kentucky Works include:
 - ▷ Temporary illness or injury which prevents participation as verified by the Cabinet
 - ▷ The work activity site is so far removed from the home that commuting time would exceed three (3) hours per day
 - ▷ Temporary incarceration or institutionalization for 30 days or less
 - ▷ Victims of domestic violence if participation would cause risk of harm
 - ▷ Other reasons that involve working conditions, e.g., formal complaint of discrimination, etc.

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▷ Care unavailable for an incapacitated parent, spouse or child living in the same household
- ▷ Child care terminated through no fault of the applicant or recipient
- ▷ Child care not meeting the needs of the child, for example, a child with a disability
- ▷ Single custodial parent caring for child under 6 years of age if child care unavailable
- ▶ Appropriate child care within a reasonable distance from the home or work site unavailable
 - ▶ Informal child care by a relative or under other arrangements unavailable or unsuitable; or
 - ▶ Appropriate and affordable formal child care arrangements unavailable
 - ▶ The following criteria or definitions applied by the TANF agency to determine whether the parent has a demonstrated inability to obtain needed child care:
 - ▷ Case workers who provide case management services for TANF recipients including referral for child care advise the family about program requirements and exemption to the individual penalties associated with the work requirement for any single custodial parent who has a demonstrated inability to obtain needed child care for a child under 6 years of age
 - ▷ Kentucky defines “appropriate child care” as an eligible child care provider as defined in 45 C.F.R. Part 98.2
 - ▷ Kentucky defines “reasonable distance” as the distance customarily available within a locality
 - ▷ Kentucky defines “unsuitability of informal child care” as care not regulated under Kentucky law which does not meet the quality child care need as defined by the parent or the health and safety requirements applicable to unregulated child care in the Commonwealth

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- Kentucky defines “affordable child care arrangements” as appropriate child care at a reasonable distance which is suitable and with charges at or below the maximum provider payment rate under the Child Care and Development Fund plan

Quality Assurance:

- ▶ The control mechanism to ensure that K-TAP grants are appropriately reduced for refusing to engage in work is:
 - ▷ Quality Control sampling
 - ▷ Supervisory reviews
 - ▷ The fair hearing process

KWP 3-Month Sanction Policy:

- ▶ As a condition of eligibility, an adult who has received cash assistance for six (6) months must engage in an allowable activity as follows, or an activity listed in the Kentucky Works "components" listed on pages 12 through 15 of this section:
 - ▷ Unsubsidized employment
 - ▷ Subsidized employment
 - ▷ Work experience training
 - ▷ Community services; or
 - ▷ Participation in work programs established by the cabinet
- ▶ Family ineligible for assistance if the recipient refuses to participate without good cause and has been penalized for noncompliance with work requirements for at least three (3) cumulative months

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

Parental Responsibility Opportunities (PRO) Team:

- ▶ PRO Team composed of entities in the community, including Department for Community Based Services and FRYSC staff, who have an interest in assisting individuals in becoming self-sufficient
- ▶ Determines the barriers that prevent the individual from participating in the Kentucky Works Program
 - ▷ Identifies solutions before the family loses cash assistance to ensure protection for children

Support Services:

- ▶ Case Management
 - ▷ The goal for case managers: to work in partnership with families to support their efforts toward self-sufficiency
- ▶ Child Care
 - ▷ TANF recipients automatically eligible
 - ▷ Referrals made to contracted service agents
- ▶ Transportation
 - ▷ Actual transportation costs paid up to the maximum payment rates listed below
 - ▶ If free or low-cost transportation that meets the needs of the individual is unavailable, direct payment to the individual per month as follows:
 - ▷ Fifteen (15) dollars for less than four (4) days participation per month
 - ▷ Sixty (60) dollars for four (4) to sixteen (16) days participation per month, or

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ One hundred (100) dollars for seventeen (17) or more days participation per month
- ▶ For a change in circumstance requiring an increase in the transportation payment for a month, a supplemental, not to exceed \$100 per month when combined with the original payment, may be paid
- ▶ Car repair payments up to a maximum of \$500 per year per eligible family
- ▶ Items or services needed by the recipient for participation in Kentucky Works up to a maximum of \$400 per year
- ▶ Referral to other agencies for needs such as substance abuse counseling, life skills, domestic violence counseling
- ▶ Summer youth programs designed to serve teens in TANF families
 - ▷ Programs designed to build self-esteem and motivate individuals to remain in school

Education:

- ▶ The Cabinet recognizes the critical role that education plays in preparing adults for work and long term employment
 - ▷ Welfare recipients may attend post-secondary education for twenty-four (24) months without a requirement to participate in any other work activity
 - ▷ Subsequently, recipients may continue their education while meeting the federal work requirement
 - ▷ The requirement may be met by practicums, internships, co-op programs, and work study as well as regular employment
 - ▷ The Cabinet provides supportive services, including child care, transportation, payments for school supplies, GED test fees, car repairs, short term training fees, registration fees, financial aid application fees, and activity fees for students who are K-TAP recipients

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▷ Family and expanded literacy programs
- ▷ Basic skills upgrades permitted and incorporated in many activities
- ▶ Ten (10) hours of the hours required for participation may be in education activities

Family Services

Family Services:

- ▶ Provision of family services to needy families so children may be cared for in their own homes or in the home of relatives and/or to encourage the formation and maintenance of two (2) parent families
- ▶ Income limits equal to or less than 200 percent of the federal poverty scale adjusted annually
- ▶ Provision of services include but are not limited to:
 - ▷ Crisis intervention services such as risk assessment, case management, or intensive family preservation services
 - ▷ Family reunification services
 - ▷ Advocacy
 - ▷ Family, individual and marriage counseling
 - ▷ Communication and negotiation skills
 - ▷ Linkage development with natural networks and community services
 - ▷ Job readiness training or other employment related services that do not provide basic income support
 - ▷ Home maintenance skills, and
 - ▷ Other services to meet the needs attributable to emergency or unusual crisis situation

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ Services provided without regard to the length of need
- ▶ Family Services are funded with 100 percent TANF federal funds

Kinship Care Program

Eligible child:

- ▶ In need of protection and unable to remain in his home
- ▶ Removed from the home for a protection issue who is placed with a caring relative that has been determined to be a preferable and viable alternative to placement with a nonrelative by the Cabinet for Health and Family Services
- ▶ At risk of commitment to the Cabinet for Health and Family Services due to abuse or neglect or death of both parents

Program benefits:

- ▶ Financial assistance
 - ▷ The monthly payment scale

Number of Eligible Children	Monthly Payment
1 Child	\$ 300
2 Children	\$ 600
3 Children	\$ 900
4 Children	\$1,200
5 Children	\$1,500
6 or more children	\$1,800

- ▷ A payment for start-up costs totaling up to \$350 multiplied by the number of siblings is provided for clothing, school supplies, additional furniture, deposit for a larger apartment, or an essential documentable cost up to the maximum including attorney fees, if needed by the kinship caregiver in obtaining permanent custody of the child

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ A case management service to each nonparental relative caring for the child in the home
- ▶ Support Services on a case-by-case basis including:
 - ▷ A child care subsidy pursuant to 922 KAR 2:160; and
 - ▷ Respite care
- ▶ Family counseling
- ▶ If requested, referral to an available support group
- ▶ Case management services supplied through the Cabinet for Health and Family Services for a minimal period of six (6) months
- ▶ Parenting training

Eligibility Requirements:

- ▶ The income limit is the same as the monthly payment scale listed above
- ▶ The resource limit and countable resources of the child is the same as a K-TAP child
- ▶ Except for the income limit, countable income of the child is the same as a K-TAP child
- ▶ The child meets technical eligibility requirements of a K-TAP child
- ▶ The child's eligibility for payment is ongoing until the child:
 - ▷ Leaves the home of the relative; or
 - ▷ Has attained the age of nineteen (19) and is no longer a full-time student in elementary school, secondary school, or an equivalent level of vocational or technical school
- ▶ A caretaker relative is excluded from the case and income or resources of the caretaker relative disregarded

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ The caretaker relative is required to:
 - ▷ Pursue permanent custody within 30 days following the 12th month of receipt of Kinship Care
 - ▷ Cooperate in a child support activity pursuant to 42 USC 608(a)(2);
 - ▷ Assign support rights to the state pursuant to 42 U.S.C. 608(a)(3) and KRS 205.720(1) and
 - ▷ Participate in an annual eligibility review pursuant to 921 KAR 2:040, Section 2(2)(c)
 - ▷ Undergo a criminal records check, and child abuse and neglect check
 - ▷ Undergo a Relative Home Evaluation performed by the cabinet
- ▶ The Cabinet for Health and Family Services reviews the placement after a six (6) month period

Funding:

- ▶ Kinship Care Program services funded with Title IV-E funds, State general Funds and TANF Federal Funds
- ▷ Services funded with state general funds not claimed as TANF MOE

Diversion Program

Family Alternatives Diversion (FAD):

- ▶ Temporary short term assistance available to stabilize families and allow them to maintain self-sufficiency
- ▶ Available to K-TAP eligible families, not currently receiving cash payments, who are at or under the gross income limit for K-TAP for the appropriate family size

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ For families with an ongoing means of support from earned income, but with verified short term needs
- ▶ Payment to resolve crisis, not to exceed \$1300 maximum
- ▶ Approval once in 24 months, with multiple payments to client and/or vendor made within 3 months from the date of application
- ▶ An adult member of a benefit group not approved for FAD more than twice in a lifetime.
- ▶ An adult member of a benefit group not eligible to receive FAD if voluntarily quit employment unless there is good cause
- ▶ Receipt of a FAD payment exclude the benefit group from receiving ongoing K-TAP benefits for twelve (12) months unless nonreceipt would result in:
 - ▷ Abuse or neglect of a child, as determined by the Cabinet; or
 - ▷ The parent's inability to provide adequate care or supervision due to the loss of employment through no fault of the parent, as determined by the Cabinet
- ▶ Referrals made to other agencies and programs
- ▶ FAD payments funded through TANF and considered short-term assistance pursuant to 45 CFR 260.31(b)(1)

Referrals for other Services:

- ▶ The following additional services/referrals are offered to FAD applicants:
 - ▷ An application taken or referrals made for benefits needed by the household such as Food Stamps, Medicaid and Child Care
 - ▷ Referrals to the following agencies or organizations are made, as appropriate:
 - ▶ The Division of Child Support
 - ▶ The Department for Public Health

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ The Office of Employment and Training or other contractors for job search, job readiness or life skills services
- ▶ Charitable organizations

Transitional Services for Families **No Longer Receiving Cash Assistance**

Work Incentive Program (WIN)

- ▶ WIN is available to a family if the family:
 - ▷ Includes an adult with wages who has been discontinued from K-TAP on or after April 1, 2003
 - ▷ Includes an adult who is employed who has a work expense
 - ▷ Reports employment within ten (10) calendar days of obtaining employment and provides verification of the employment within ten (10) calendar days of the report
 - ▷ Has an eligible child
 - ▷ Is a resident of Kentucky
 - ▷ Has a total gross income at or below 200 percent of federal poverty level
- ▶ WIN is a reimbursement of \$130 per month for nine (9) months for work-related expenses
- ▶ Receipt of WIN reimbursements is limited to once in a lifetime

Safety Net:

- ▶ Safety Net services initiated for former K-TAP recipients, who have total gross income at or below 200 percent of federal poverty level, when no longer eligible for K-TAP benefits due to failure to complete a Kentucky Works assessment, being sanctioned for three months for not participating in KWP, or due to benefit time limitations

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▷ Assessment of family and home situation to identify problems and referral to available resources in the community, or if needed, crisis intervention with financial assistance for basic needs such as food, clothing, fuel, utilities, housing, etc.
- ▷ Financial assistance available up to \$635 over four (4) months, during a twelve (12) month period
- ▷ Safety Net services are funded through TANF and considered short-term assistance pursuant to 45 CFR 260.31(b)(1)

Targeted Assessment Program (TAP):

- ▶ Program to target barriers to self-sufficiency and safety, with emphasis on substance abuse, domestic violence, mental health, and learning problems
- ▷ Identify and address multiple barriers to family self-sufficiency and safety
- ▷ Conduct screenings and assessments and facilitate appropriate referral and client follow through

Services for Long Term K-TAP recipients:

- ▶ Pilot project to assist long-term K-TAP recipients in need of domestic violence, mental health and substance abuse services to secure and sustain employment, maintain family safety, or both.
- ▶ Provision of services include:
 - ▷ Screening and referral services
 - ▷ Outreach and education
 - ▷ Non-medical clinical services including but not limited to:
 - ▶ Counseling

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ Safety planning
 - ▶ Emergency shelter
 - ▶ Court advocacy
 - ▶ Intensive substance abuse treatment
 - ▶ Case management
 - ▶ Supportive services
- ▶ Services coordinated with Targeted Assessment Program (TAP) in available areas

MISCELLANEOUS

Compliance with other Laws:

- ▶ The following provisions of law apply to any program or activity funded with TANF funds:
 - ▷ The Age Discrimination Act of 1975
 - ▷ Section 504 of the Rehabilitation Act of 1973
 - ▷ The Americans with Disabilities Act of 1990
 - ▷ Title VI of the Civil Rights Act of 1964

Privacy:

- ▶ Guaranteed through Kentucky Revised Statutes 194B.060, 205.175, and 205.177
- ▶ Case record information not published or open for public inspection
- ▶ Information available to the following entities:
 - ▷ Public employees administering public assistance and child support programs

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▷ All law enforcement agencies in cases involving the discovery and prosecution of fraud
- ▷ Banks or other financial institutions to confirm information submitted by the recipient for assistance determination
- ▷ Written consent to disclose financial data will be given by applicants for K-TAP
- ▶ Information about the recipient is also available to:
 - ▷ Entities supplying or securing services for the recipient, where a confidentiality agreement exists with the Cabinet
 - ▷ An authorized representative of any other state or local government agency, if there is a direct or legitimate interest in the participant or his family, notwithstanding any state statute or regulation to the contrary
 - ▷ Private or quasi-private agency when such agency has an agreement with the state assuring the confidentiality of all such information and a direct, tangible and legitimate interest in the individual

Hearing rights:

- ▶ A system of hearings available to any applicant or recipient dissatisfied with an action or inaction on the part of the Cabinet
- ▶ On receipt of an oral request (later submitted in writing) or written request, the applicant or recipient given reasonable notice and opportunity for a hearing pursuant to procedures provided in Chapter 13B of Kentucky Revised Statutes and Kentucky Administrative Regulation 921 KAR 2:055
- ▶ If dissatisfied with the decision of a hearing officer, the applicant or recipient may appeal to the Appeal Board for Public Assistance
- ▶ Within 30 days after a decision by the appeal board, any party aggrieved may secure judicial review in the circuit court of the county in which the petitioner resides

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

Activities to Reduce Teen Pregnancy:

- Goals established to reduce the out-of wedlock birth ratio by 1.0 percent in 2006; 1.0 percent in 2007; 1.0 percent in 2008; 1.0 percent in 2009; and 1.0 percent in 2010
- Local health departments in approximately 90 counties have convened workgroups composed of individuals from social services, schools, youth service centers, clergy, elected offices, the medical community, parents, and teens to discuss strategies for reducing teen pregnancy in their communities.
- To encourage new approaches for the reduction of sexual activity and pregnancy in teens, Kentucky has allocated funds for direct community grants. Communities may apply for funding (up to \$50,000) through the local Health Department or Local Health Districts for projects meeting abstinence education legislation priorities as stated in 42 U.S.C. 710. The goal of these direct grants is to create strong partnerships among public and private community agencies, parents, schools, and the faith community to teach school-age children the value of sexual abstinence. They also are used to fund programs unique to each individual community, their resources and their specific needs.
- School-based programs may include:
 - Teen Outreach Program (TOP), a community service and life skills instruction in a yearlong school-based program. Students targeted for TOP are a mix of higher risk adolescents and students not currently involved in other school activities
 - Postponing Sexual Involvement (PSI), curriculum designed for Junior High/Middle School-aged students. This five session program is designed to be taught by peer educators and trained high school students. PSI is abstinence-based and does not include any information about contraceptives. Local county health departments administer the program.
- Some localities initiate media campaigns to promote the values of abstinence and increase awareness of consequences of early and unmarried pregnancies to children and society.

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▶ Reducing the Risk (RTR) is a school-based curriculum designed for 16 one-hour sessions. Its focus is on avoiding unprotected intercourse through both abstinence, presented as the only 100% safe method, and several sessions on proper contraceptive use and approximate failure rates. The interactive program includes several sessions with role-playing.
- ▶ Studies and recommendations related to promoting male responsibility, including fatherhood and teen fatherhood programs, strengthening current laws, penalties and prosecution of statutory rape, establishment of paternity, enforcement of child support obligations
- ▶ Suspected domestic violence or statutory rape referrals made to the Division of Protection and Permanency for an evaluation and recommendation for further action
- ▶ Increasing the number of after school and summer programs to prevent negative behavior, such as unprotected sex and drug and alcohol abuse

Family Planning Services:

- ▶ Through the Department for Public Health, family planning services provided to prevent unintended pregnancies
 - ▷ Easy access to contraceptive services and supplies assured
 - ▷ Information provided to teens through outreach
 - ▷ Local health departments to provide education in youth centers and schools

HANDS (Health Access; Nurturing Development Services):

- ▶ Home visitation program for first time mothers to assist them in meeting the challenges of parenting during the prenatal period and continuing during the child's first two years of life
- ▶ Purposes are:

Temporary Assistance for Needy Families (TANF) Title IV-A State Plan

- ▷ Achieve positive pregnancy outcomes
- ▷ Improve health and development outcomes for children
- ▷ Have children in healthy and safe homes
- ▷ Reduction in the likelihood of child abuse and neglect over the long term

Tribes

Not applicable to the Commonwealth of Kentucky

Certifications

Operation of child support program

Operation of foster care and adoption assistance program

Administration by the Cabinet for Health and Family Services

Assurance that groups have 45 days to comment

Procedures to ensure against fraud and abuse

INTERIM JOINT COMMITTEE ON HEALTH AND WELFARE

Meeting No. 6

TIME:	1:00 p.m.
DATE:	November 16, 2005
PLACE:	Room 129, Capitol Annex

I. Call to Order and Roll Call

II. Approval of the Minutes of the October 19, 2005 Meeting

III. Expanding Services to Older Adults

**Mary Crawley-Schmidt, Bluegrass Area Agency on Aging
Bert Sisk, Chair, Special Advisory Commission of Senior Citizens**

IV. Report on Public Health Programs on Smoking Cessation

**Irene Centers, Team Leader, Tobacco Prevention and Control Team
Chronic Disease Prevention and Control Branch, Department for Public Health
Cabinet for Health and Family Services**

V. Legislative Hearing on the FFY 2006-07 Temporary Assistance for Needy Families (TANF) Block Grant

**Tom Emberton, Jr., Commissioner
Department for Community Based Services
Cabinet for Health and Family Services**

**Jason Moseley, Director
Division of Policy Development
Department for Community Based Services
Cabinet for Health and Family Services**

VI. Potter Children's Home and Residential Care for Children

**Ralph Brewer
Potter Children's Home**

**Division of Permanency and Protection
Department for Community Based Services**

APPLICATION FOR FEDERAL ASSISTANCE

Version 9/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-construction		Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED	Applicant Identifier
3. DATE RECEIVED BY STATE			State Application Identifier	
4. DATE RECEIVED BY FEDERAL AGENCY			Federal Identifier Kentucky	

5. APPLICANT INFORMATION																									
Legal Name: Cabinet for Health and Family Services, Department for Community Based Services			Organizational Unit: Department: Department for Community Based Services																						
Organizational DUNS: 926994492			Division: Division of Policy Development																						
Address: Street: 275 E. Main Street, Human Resources Building, 3W-B			Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Mr. First Name: Jason																						
City: Frankfort			Middle Name: C.																						
County: Franklin			Last Name: Moseley																						
State: Kentucky	Zip Code: 40621		Suffix:																						
Country: USA			Email: JasonC.Moseley@ky.gov																						
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 6 1 - 0 6 0 0 4 3 9 </div>			Phone Number (give area code) 502-564-3556																						
			FAX Number (give area code) 502-564-0328																						
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) <div style="text-align: center; margin-top: 10px;"> <input type="checkbox"/> <input type="checkbox"/> </div> Other (specify)			7. TYPE OF APPLICANT: (See back of form for Application Types) A State Government Other (specify)																						
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 9 3 - 5 5 8 </div> TITLE (Name of Program) Temporary Assistance for Needy Families			9. NAME OF FEDERAL AGENCY: Department of Health and Human Services																						
12. AREAS AFFECTED BY PROJECT (Cities, Countries, States, etc.) Statewide			11. DESCRIPTIVE TITLE OF APPLICANTS PROJECT: Temporary Assistance for Needy Families Block Grant																						
13. PROPOSED PROJECT Start Date: Oct. 1, 2005 Ending Date: Sept. 30, 2007			14. CONGRESSIONAL DISTRICTS OF: a. Applicant: Statewide b. Project:																						
15. ESTIMATED FUNDING: <table border="1" style="width:100%; border-collapse: collapse; margin-top: 5px;"> <tr> <td style="width:20%;">a. Federal</td> <td style="width:10%;">\$</td> <td style="width:70%; text-align: right;">181,287,700.00</td> </tr> <tr> <td>b. Applicant</td> <td>\$</td> <td style="text-align: right;">.00</td> </tr> <tr> <td>c. State</td> <td>\$</td> <td style="text-align: right;">71,913,100.00</td> </tr> <tr> <td>d. Local</td> <td>\$</td> <td style="text-align: right;">.00</td> </tr> <tr> <td>e. Other</td> <td>\$</td> <td style="text-align: right;">.00</td> </tr> <tr> <td>f. Program Income</td> <td>\$</td> <td style="text-align: right;">.00</td> </tr> <tr> <td>g. TOTAL</td> <td>\$</td> <td style="text-align: right;">253,200,800.00</td> </tr> </table>			a. Federal	\$	181,287,700.00	b. Applicant	\$.00	c. State	\$	71,913,100.00	d. Local	\$.00	e. Other	\$.00	f. Program Income	\$.00	g. TOTAL	\$	253,200,800.00	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. YES. <input checked="" type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. NO. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
a. Federal	\$	181,287,700.00																							
b. Applicant	\$.00																							
c. State	\$	71,913,100.00																							
d. Local	\$.00																							
e. Other	\$.00																							
f. Program Income	\$.00																							
g. TOTAL	\$	253,200,800.00																							
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES.			17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No																						
a. Authorized Representative																									
Prefix Mr. First Name Tom		Middle Name																							
Last Name Emberton		Suffix Jr.																							
b. Title Commissioner, Department for Community Based Services		c. Telephone Number (give area code) 502-564-3703																							
Email: Tom.emberton@ky.gov		Fax Number (give area code) 502-564-6907																							
d. Signature of Authorized Representative 		e. Date Signed 9-9-07																							

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. TANF	93-558	\$	\$	\$	\$	\$
		REFER TO PAGE 1 OF THE ATTACHMENT.				0.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$
	REFER TO PAGE 2 OF THE ATTACHMENT.				0.00
b. Fringe Benefits					0.00
c. Travel					0.00
d. Equipment					0.00
e. Supplies					0.00
f. Contractual					0.00
g. Construction					0.00
h. Other					0.00
i. Total Direct Charges (sum of 6a-6h)	0.00	0.00	0.00	0.00	0.00
j. Indirect Charges					0.00
k. TOTALS (sum of 6i and 6j)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
7. Program Income	\$	\$	\$	\$	\$
	REFER TO PAGE 2 OF THE ATTACHMENT.				0.00

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.	\$	\$	\$	\$ 0.00	
9.	REFER TO PAGE 2 of THE ATTACHMENT.			0.00	
10.				0.00	
11.				0.00	
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 0.00	\$	\$	\$	\$
14. Non-Federal	0.00	REFER TO PAGE 2 of THE ATTACHMENT.			
15. TOTAL (sum of lines 13 and 14)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (Years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.	\$	\$	\$	\$	
17.	REFER TO PAGE 2 of THE ATTACHMENT.				
18.					
19.					
20. TOTAL (sum of lines 16-19)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges:		22. Indirect Charges:			
23. Remarks:					
REFER TO PAGE 2 of THE ATTACHMENT.					

Authorized for Local Reproduction

Attachment 1

Specify Mo & Year	Federal (%)	G.F.(%)	A.R.(%)	Local(%)	Total(%)
	72%	28%	0%	0%	100%
10/05 - 12/05	\$45,321,900	\$17,978,300			\$63,300,200
1/06 - 3/06	\$45,321,900	\$17,978,300			\$63,300,200
4/06 - 6/06	\$45,321,900	\$17,978,300			\$63,300,200
7/06 - 9/06	\$45,321,900	\$17,978,300			\$63,300,200
10/06 - 12/06	\$45,321,900	\$17,978,300			\$63,300,200
1/07 - 3/07	\$45,321,900	\$17,978,300			\$63,300,200
4/07 - 6/07	\$45,321,900	\$17,978,300			\$63,300,200
7/07 - 9/07	\$45,322,000	\$17,978,200			\$63,300,200

		Distribution of Block Grant Funds and Other Funds and Percent of Total Funds for Each Program						
			FY 05-06					
Program Service	Federal	%	G.F.	%	Local	%	Total	% of Total
Transfer- Child Care	\$32,423,500	100%		0%	0		\$32,423,500	13%
TANF- Child Care	\$17,000,000	100%		0%	0		\$17,000,000	7%
Contracts	\$12,000,000	100%		0%	0		\$12,000,000	5%
Cash Assistanc e	\$47,369,400	44%	\$60,846,400	56%	0		\$108,215,800	43%
Transport ation	\$7,283,400	100%		0%	0		\$7,283,400	3%
Other Support Services	\$34,220,766	100%		0%	0		\$34,220,766	14%
Protection and Permanen cy	\$6,245,834	100%		0%	0		\$6,245,834	2%
Administra tion	\$24,739,600	69%	\$11,071,900	31%	0		\$35,811,500	14%
Total	\$181,282,500		\$71,918,300		\$0		\$253,200,800	

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

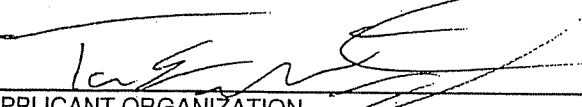
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE <i>Commissioner</i>	
APPLICANT ORGANIZATION	DATE SUBMITTED August 4, 2005	



ERNIE FLETCHER
GOVERNOR

GOVERNOR'S OFFICE FOR LOCAL DEVELOPMENT
OFFICE OF THE GOVERNOR
1024 CAPITAL CENTER DRIVE, SUITE 340
FRANKFORT, KENTUCKY 40601-8204
PHONE (502) 573-2382 FAX (502) 573-2939
TOLL FREE (800) 346-5606
www.kentucky.gov

ELLEN WILLIAMS
COMMISSIONER

September 21, 2005

Mr. Jason Moseley
Cabinet for Health and Family Services
Division of Policy Development, 275 East Main Street, 3W-B
Frankfort, KY 40621

RE: Temporary Assistance for Needy Families Block Grant
CFDA# 93.558
SAI# KY20050823-0941

Dear Mr. Moseley:

The Kentucky State Clearinghouse, which has been officially designated as the Commonwealth's Single Point of Contact (SPOC) pursuant to Presidential Executive Order 12372, has completed its evaluation of your proposal. The clearinghouse review of this proposal indicates there are no identifiable conflicts with any state or local plan, goal, or objective. Therefore, the State Clearinghouse recommends this project be approved for assistance by the cognizant federal agency.

Although the primary function of the State Single Point of Contact is to coordinate the state and local evaluation of your proposal, the Kentucky State Clearinghouse also utilizes this process to apprise the applicant of statutory and regulatory requirements or other types of information which could prove to be useful in the event the project is approved for assistance. Information of this nature, if any, concerning this particular proposal will be attached to this correspondence.

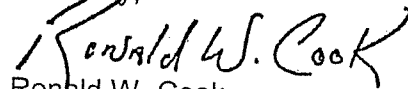
You should now continue with the application process prescribed by the appropriate funding agency. This process may include a detailed review by state agencies that have authority over specific types of projects.

This letter signifies only that the project has been processed through the State Single Point of Contact. It is neither a commitment of funds from this agency or any other state or federal agency.

The results of this review are valid for one year from the date of this letter.
Continuation or renewal applications must be submitted to the State Clearinghouse annually. An application not submitted to the funding agency, or not approved within one year after completion of this review, must be re-submitted to receive a valid intergovernmental review.

If you have any questions regarding this letter, please feel free to contact my office at 502-573-2382.

Sincerely,

A handwritten signature in black ink that reads "Ronald W. Cook". The signature is written in a cursive style with a large, stylized "R" and "C".

Ronald W. Cook
Kentucky State Clearinghouse

Cc: Area Development Districts

CERTIFICATIONS

The State will operate a program to provide Temporary Assistance to Needy Families (TANF) so that the children may be cared for in their own homes or in the homes of relatives; to end dependence of needy parents on government benefits by promoting job preparation, work, and marriage; to prevent and reduce the incidence of out-of-wedlock pregnancies and establish annual numerical goals for preventing and reducing the incidence of these pregnancies; and encourage the formation and maintenance of two-parent families.

This program is known as: Kentucky Transitional Assistance Program (K-TAP)

Executive Officer of the State (Name): Ernie Fletcher, Governor

In administering and operating a program which provides Temporary Assistance for Needy Families with minor children under title IV-A of the Social Security Act, the State will:

1. Specify which State agency or agencies will administer and supervise the program under part A in all political subdivisions of the State:

Cabinet for Health and Family Services is the agency responsible for administering the program;

Department for Community Based Services is the agency responsible for supervising the program;

2. Assure that local governments and private sector organizations:

- (a) Have been consulted regarding the plan and design of welfare services in the State so that services are provided in a manner appropriate to local populations; and
- (b) Have had at least 45 days to submit comments on the plan and the design of such services.

3. Operate a Child Support Enforcement program under the State plan approved under part D;

4. Operate a Foster Care and Adoption Assistance program in accordance with part E, and certify that the State will take all necessary actions to ensure that children receiving assistance are eligible for medical assistance;

5. Provide each member of an Indian tribe, who is domiciled in the State and is not eligible for assistance under a Tribal Family Assistance plan approved under Section 412, with equitable access to assistance under the State program funded under this part attributable to funds provided by the Federal Government.

6. Establish and enforce standards and procedures to ensure against program fraud and abuse, including standards and procedures concerning nepotism, conflicts of interest among individuals responsible for the administration and supervision of the State program, kickbacks, and the use of political patronage.

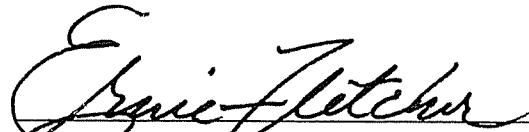
7. Make available to the public a summary of the State plan; and

OPTIONAL CERTIFICATION

[✓] The State has established and is enforcing standards and procedures to:

- (1) Screen and identify individuals receiving assistance under this part with a history of domestic violence while maintaining the confidentiality of such individuals;
- (2) Refer such individuals to counseling and supportive services; and
- (3) Waive, pursuant to a determination of good cause, other program requirements such as time limits (for as long as necessary) for individuals receiving assistance, residency requirements, child support cooperation requirements, and family cap provisions, in cases where compliance with such requirements would make it more difficult for individuals receiving assistance under this part to escape domestic violence or unfairly penalize such individuals who are or have been victimized by such violence.

CERTIFIED BY THE CHIEF EXECUTIVE OFFICER OF THE STATE:


Ernie Fletcher, Governor
Commonwealth of Kentucky

Date 10-21-05

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

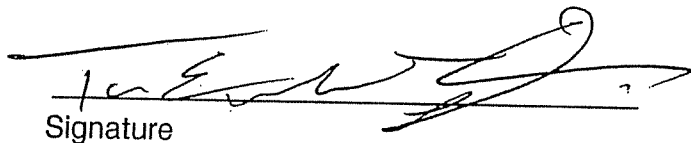
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.


Signature

Department for Community Based Services
Organization

Commissioner

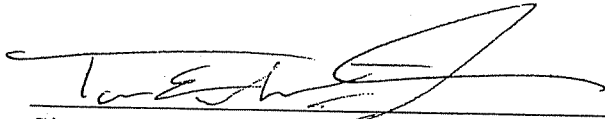
Title

8-9-05

Date

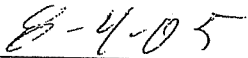
CERTIFICATION REGARDING MAINTENANCE OF EFFORT

In accordance with the applicable program statute(s) and regulation(s), the undersigned certifies that financial assistance provided by the Administration for Children and Families, for the specified activities to be performed under the Temporary Assistance for Needy Families Program by the Kentucky Cabinet for Health and Family Services (Applicant Organization), will be in addition to, and not in substitution for, comparable activities previously carried on without Federal assistance.



Signature of Authorized Certifying Official

Commissioner, Department for Community Based Services
Title



Date